

USPTO
2009 FEB -9 PM 4:26 PATENT APPLICATION

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

RECEIVED

Patent Number : 6,897,286
Serial No. : 09/853,253
Date : January 30, 2009

FEB 13 2009

OFFICE OF PETITIONS

Attn: Maintenance Fees
Director of the United States Patent and Trademark Office
2051 Jamieson Avenue, Suite 300
Alexandria, VA 22314

STATEMENT REGARDING PAYMENT OF DEFICIENCY

Sir:

The 4th year maintenance fee was paid in error at the small entity rate on November 24, 2008. A Notification of Loss of Entitlement to Small Entity Status (a copy of which is attached hereto) was submitted via facsimile to the Status & Entity Branch of the Office of Finance on January 15, 2009.

Also submitted herewith is a Maintenance Fee Transmittal Form. We are now paying deficiencies in the amount of \$620.00 - \$490.00 for the maintenance fee and \$130.00 for the late fees - with enclosed check number 222057.

Respectfully Submitted,



Robyn Adams
Registration No. 44,495

Customer No. 10117
ZymoGenetics, Inc.

Enclosures: Maintenance Fee Transmittal Form
Copy of Notification of Loss of Entitlement to Small Entity Status
Check Number 222057

MAINTENANCE FEE TRANSMITTAL FORM**(Do not submit this form electronically via EFS-Web)****Address to:**

**Director of the United States
Patent and Trademark Office
Attn: Maintenance Fee
2051 Jamieson Avenue, Suite 300
Alexandria, VA 22314**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to "Director of the United States Patent and Trademark Office, Attn: Maintenance Fee, 2051 Jamieson Avenue, Suite 300, Alexandria, VA 22314" on January 30, 2009

Signature Sara Roepe**RECEIVED**

- OR -

Fax to: 571-273-6500

Typed or printed name Sara Roepe**FEB 13 2009**

Enclosed herewith is the payment of the maintenance fee(s) for the listed patent(s).

OFFICE OF PETITIONS

1. ☒ A check for the amount of \$ 620.00 for the full payment of the maintenance fee(s) and any necessary surcharge is enclosed.
2. ☐ Payment by credit card. Form PTO-2038 is enclosed.
3. ☐ The Director is hereby authorized to charge \$ _____ to cover the payment of the fee(s) indicated below to Deposit Account No. _____.
4. ☒ The Director is hereby authorized to charge any deficiency in the payment of the required fee(s) or credit any overpayment to Deposit Account No. 26-0290.

* Information required by 37 CFR 1.366(c) (columns 1 & 2). Information requested under 37 CFR 1.366(d) (columns 3, 4, & 5).

| Item | Patent Number* Column 1 | U.S. Application Number* [e.g., 06/555,555] Column 2 | Maintenance Fee Amount (37 CFR 1.20(e)-(g)) Column 3 | Surcharge Amount (37 CFR 1.20(h)) Column 4 | Payment Year (select one below) Column 5 | | |
|------|----------------------------|------------------------------------------------------------|------------------------------------------------------------|--------------------------------------------------|------------------------------------------------|---------|----------|
| | | | | | 3.5 yrs | 7.5 yrs | 11.5 yrs |
| 1 | 6,897,286 | 09/853,253 | 490.00 | 130.00 | <input checked="" type="checkbox"/> | | |
| 2 | | | | | | | |
| 3 | | | | | | | |
| 4 | | | | | | | |
| 5 | | | | | | | |

Subtotals: Columns 3 & 4

490.00

130.00

Total Payment

620.00

☐ additional sheets attached for listing additional patents.

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on Form PTO-2038.

Respectfully submitted, **

Customer's Signature

Robyn AdamsJanuary 30, 2009

Customer's Name

Robyn AdamsRegistration Number, if applicable: 44,495Telephone: 206-442-6752Fax: 206-442-6678

Note: All correspondence will be forwarded to the "Fee Address" or to the "Correspondence Address" if no "Fee Address" has been provided. See 37 CFR 1.363.

Payment of small entity fee is appropriate if small entity status still exists, see 37 CFR 1.27(g). To establish small entity status or to change status from small to large entity, a written assertion is required. See 37 CFR 1.27 and 1.33(b).

** WHERE MAINTENANCE FEE PAYMENTS ARE TO BE MADE BY AUTHORIZATION TO CHARGE A DEPOSIT ACCOUNT, BOTH THE NAME AND SIGNATURE OF AN AUTHORIZED USER ARE REQUIRED.

This collection of information is required by 37 CFR 1.366. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 5 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA. 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Director of the United States Patent and Trademark Office, Attn: Maintenance Fee, 2051 Jamieson Avenue, Suite 300, Alexandria, VA 22314.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Please fax to: Status & Entity Branch, Office of Finance at 571-273-6500 or submit to:

Mail Stop M Correspondence
Director of the US Patent and Trademark Office.
PO Box 1450
Alexandria, VA 22313-1450

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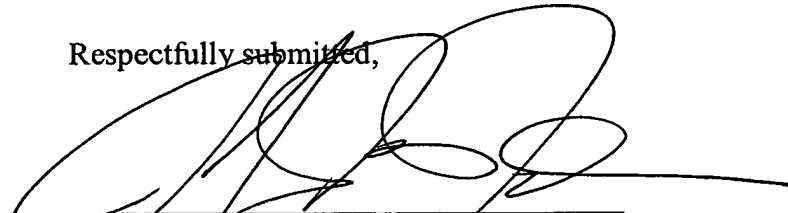
January 15, 2009

Re: NOTIFICATION OF LOSS OF ENTITLEMENT TO SMALL ENTITY
STATUS

Please note that as of November 30, 2006, the below referenced patent qualifies as large entity.

6,897,286

Respectfully submitted,



Jennifer K. Johnson
Senior Associate General Counsel Patents
ZymoGenetics, Inc.
Customer No. 10117